

### CITY AND COUNTY OF DENVER

#### DEPARTMENT OF EXCISE AND LICENSE

OFFICE OF DIRECTOR 201 West Colfax Avenue, Dept. 206 Denver, Colorado 80202

2/12/2025

Re: BAMBOO SUSHI CONGRESS, LLC d/b/a BAMBOO SUSHI 1160 Madison ST Denver, Colorado 80206

Dear Neighborhood Organization:

Please be advised that an application for a transfer of ownership has been filed with this department regarding a liquor licensed establishment in your neighborhood. Please review the attached documentation for information regarding this change.

Objections to liquor license transfers <u>must</u> be based only on the character, record, and reputation of the applicant. If the transfer includes a cabaret license, objections may also be raised regarding that license. All objections to this application must be submitted to the Director of Excise and Licenses at 201 West Colfax Avenue, Department 206, Denver, Colorado 80202 or to EXLApplications@denvergov.org, by the close of business twenty (20) calendar days from the date of this letter.

To review this application for a transfer of ownership, please visit the Department of Excise and Licenses at 201 West Colfax Avenue, Department 206, between the hours of 8:00 am and 4:00 pm.

### Ayuda En Espanol:

Si necesita ayuda or tiene preguntas en espanol, por favor contactenos por telefono (720-913-1311 o 311) y diga que necesita ayuda en espanol y nosotros lo llamaremos para hablar sobre este tema.

Sincerely,

Molly Duplechian
Interim Director of Excise and Licenses

cc: Councilman Chris Hinds
7th Avenue Neighborhood Association
Ascot Condominium Assn.
Bellevue Hale Neighborhood Organization
Bluebird BID
Capitol Hill United Neighborhoods
City Park Friends and Neighbors
Congress Park for ALL
Congress Park Neighbors, INC
Council District 10

Council District 5
Council District 9
Council District At Large
East Denver United Neighbors
Greater Park Hill Community In
Hilltop Neighborhood Association
Inter-Neighborhood Cooperation (INC)
Opportunity Corridor Coalition of United Residents
South City Park Neighborhood Association
Strong Denver

DR 8404 (08/08/24)
COLORADO DEPARTMENT OF REVENUE
Liquor Enforcement Division
PO BOX 17087
Denver CO 80217-0087
(303) 205-2300

# **Colorado Liquor Retail License Application**

* Note that the Division will not a	ccept cash	Paid by Check	Date U	oloaded to	Movelt	
		X Paid Online				
New License New-Concurre	ent 🔀 Trans		State P	roperty Oı	nly 🗌	Master file
<ul> <li>All answers must be printed in</li> <li>Applicant must check the app</li> <li>Applicant should obtain a copy</li> </ul>	ropriate box	(es)	Code:	SBG.Co.	lorado.g	gov/Liquor
Applicant is applying as a/an	Individual Corporation	<ul><li>✓ Limited Liability Cor</li><li>☐ Partnership (includ Couple Partnership</li></ul>	es Limit	_	ciation o	
Applicant Name If an LLC, name of LLC; if	partnership, at lea	ast 2 partner's names; if co	orporation	n, name of	corporation	on
Bamboo Sushi Congress, LLC						
FEIN Number				State Sale	s Tax Nı	umber
33-1790143				964837	<b>722-</b> 00	)1
Trade Name of Establishment (DBA)				Business	Telephor	ne
Bamboo Sushi				971-213-4	1614	
Address of Premises (specify exact location	of premises, incl	ude suite/unit numbers)				
1160 Madison St.						
City	Count	у			State	ZIP Code
Denver	Den	ver			co	80206
Mailing Address (Number and Street)		City or Town			State	ZIP Code
		Portland			OR	97214
Email Address						
If the premises currently has a lique		ense, you <b>must</b> ansv	wer the	following	g quest	ions.
RONIN CONGRESS PARK						
Present State License Number	Present Class	of License	Prese	nt Expiratio	n Date	
03-14278	Hotel and	Restaurant (city)	2/16	3/2025		

## Section A Nonrefundable application fees\*

	Application Fee for New License		\$1,100.00
	Application Fee for New License with Concurrent Review		\$1,200.00
X	Application Fee for Transfer		\$1,100.00
	Section B Liquor License Fees	<b>;*</b>	
	Add Optional Premises to H & R\$1	00.00 X	Total
	Add Sidewalk Service Area		\$75.00
	Arts License (City)		\$308.75
	Arts License (County)		\$308.75
	Beer and Wine License (City)		\$351.25
	Beer and Wine License (County)		\$436.25
	Brew Pub License (City)		\$750.00
	Brew Pub License (County)		\$750.00
	Campus Liquor Complex (City)		\$500.00
	Campus Liquor Complex (County)		\$500.00
	Campus Liquor Complex (State)		\$500.00
	Club License (City)		\$308.75
	Club License (County)		\$308.75
	Distillery Pub License (City)		\$750.00
	Distillery Pub License (County)		\$750.00
	Entertainment Facility License (City)		\$500.00
	Entertainment Facility License (County)		\$500.00
X	Hotel and Restaurant License (City)		\$500.00
	Hotel and Restaurant License (County)		\$500.00
	Hotel and Restaurant License with one optional premises (City)		\$600.00
П	Hotel and Restaurant License with one optional premises (County)		\$600.00

### Section B Liquor License Fees\* (Continued)

	Liquor–Licensed Drugstore (City)	\$227.50
	Liquor-Licensed Drugstore (County)	\$312.50
	Lodging Facility License (City)	\$500.00
	Lodging Facility License (County)	\$500.00
X	Manager Registration - H & R	\$30.00
	Manager Registration - Tavern	\$30.00
	Manager Registration - Lodging & Entertainment	\$30.00
	Manager Registration - Campus Liquor Complex	\$30.00
	Optional Premises License (City)	\$500.00
	Optional Premises License (County)	\$500.00
	Racetrack License (City)	\$500.00
	Racetrack License (County)	\$500.00
	Resort Complex License (City)	\$500.00
	Resort Complex License (County)	\$500.00
	Related Facility - Campus Liquor Complex (City)	\$160.00
	Related Facility - Campus Liquor Complex (County)	\$160.00
	Related Facility - Campus Liquor Complex (State)	\$160.00
	Retail Gaming Tavern License (City)	\$500.00
	Retail Gaming Tavern License (County)	\$500.00
	Retail Liquor Store License - Additional (City)	\$227.50
	Retail Liquor Store License - Additional (County)	\$312.50
	Retail Liquor Store (City)	\$227.50

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### **Application Documents Checklist and Worksheet**

Instructions: This checklist should be utilized to assist applicants with filing all required documents for licensure. All documents must be properly signed and correspond with the name of the applicant exactly. All documents must be typed or legibly printed. Upon final State approval the license will be mailed to the local licensing authority. Application fees are nonrefundable. Questions? Visit: SBG.Colorado.gov/Liquor for more information

Items submitted, please check all appropriate boxes completed or documents submitted

I.	Applicant information
	Applicant/Licensee identified
	State sales tax license number listed or applied for at time of application
	License type or other transaction identified
	Return originals to local authority (additional items may be required by the local licensing authority)
	All sections of the application need to be completed
	Master file applicants must include the Application for Master File form DR 8415 and applicable fees to this Retail License Application
II.	Diagram of the premises
	No larger than 8½" X 11"
	Dimensions included (does not have to be to scale). Exterior areas should show type of control (fences, walls, entry/exit points, etc.)
	Separate diagram for each floor (if multiple levels)
	Return originals to local authority (additional items may be required by the local licensing authority)
	Kitchen - identified if Hotel and Restaurant
	Bold/Outlined Licensed Premises
III.	Proof of property possession (One Year Needed)
	Deed in name of the applicant (or) (matching Applicant Name provided on page 1) date stamped / filed with County Clerk
	Lease in the name of the applicant (or) (matching Applicant Name provided on page 1)
	Lease assignment in the name of the applicant with proper consent from the landlord and acceptance by the applicant
	Other agreement if not deed or lease. (matching Applicant Name provided on page 1)

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IV.	Background information (DR 8404-I) and financial documents
	Complete DR 8404-I for each principal (individuals with more than 10% ownership, officers, directors, partners, members)
	Fingerprints taken and submitted to the appropriate Local Licensing Authority through an approved State Vendor. Master File applicants submit results to the State  Do not complete fingerprint cards prior to submitting your application.  The Vendors are as follows: IdentoGO
	Appointment Scheduling Website: <a href="https://uenroll.identogo.com/workflows/25YQHT">https://uenroll.identogo.com/workflows/25YQHT</a> Phone: 844-539-5539 (toll-free) IdentoGO FAQs: <a href="https://cbi.colorado.gov/sections/biometric-identification-and-records-unit/biometric-identification-and-records-unit-faqs">https://cbi.colorado.gov/sections/biometric-identification-and-records-unit/biometric-iden</a>
	Phone: 720-292-2722 833-224-2227 (toll free) State Liquor Code for Colorado Fingerprinting: C030LIQI
	Purchase agreement, stock transfer agreement, and/or authorization to transfer license
	List of all notes and loans (Copies to also be attached)
V.	Sole proprietor/husband and wife partnership (if applicable)
	Form DR 4679 Lawful Presence Affidavit
	Copy of State issued Driver's License or Colorado Identification Card for each applicant
VI.	Corporate applicant information (if applicable)
	Certificate of Incorporation
	Certificate of Good Standing
	Certificate of Authorization if foreign corporation (out of state applicants only)
VII.	Partnership applicant information (if applicable)
	Partnership Agreement (general or limited).
	Certificate of Good Standing
VIII.	Limited Liability Company applicant information (if applicable)
	Copy of articles of organization
	Certificate of Good Standing
	Copy of Operating Agreement (if applicable)
	Certificate of Authority if foreign LLC (out of state applicants only)
IX.	Manager registration for Hotel and Restaurant, Tavern, Lodging & Entertainment, and Campus Liquor Complex licenses when included with this application
	\$30.00 fee
	If owner is managing, no fee required

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managers if a limited liability company; or officers, stockly corporation) or managers under the age of twenty-one years.	olders or directors if a	0 \	Yes (	<b>⊙</b>	No
2. Has the applicant (including any of the partners if a partners liability company; or officers, stockholders or directors if a Colorado or any other state):					ed
a. Been denied an alcohol beverage license?		0 \	res (	•	No
b. Had an alcohol beverage license suspended or revo	oked?	0 \	Yes (	•	No
c. Had interest in another entity that had an alcohol be suspended or revoked?		0 \	Yes (	<b>⊙</b>	No
If you answered yes to a, b or c above, explain in detail o	on a separate sheet.				
3. Has a liquor license application (same license class), that we feet of the proposed premises, been denied within the precent		0 \	Yes (	<b>⊙</b>	No
4. Are the premises to be licensed within 500 feet, of any put that meets compulsory education requirements of Colora campus of any college, university or seminary?	do law, or the principal	0 1	Yes (	<b>⊙</b>	No
	Waiver by local ordinance? Other	0 \	res (	0	No
5. Is your Liquor Licensed Drugstore (LLDS) or Retail Liquor 1500 feet of another retail liquor license for off-premises with a population of greater than (>) 10,0000? NOTE: The determined by a radius measurement that begins at the LLDS/RLS premises for which the application is being in principal doorway of the Licensed LLDS/RLS	sales in a jurisdiction e distance shall be principal doorway of the hade and ends at the	0 `	Yes (	0	No

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6. Is your Liquor Licensed Drugstore (LLI 3000 feet of another retail liquor license with a population of less than (<) 10,00 determined by a radius measurement t LLDS/RLS premises for which the apprincipal doorway of the Licensed LLD	e for off-premises sales in a juris 00? <b>NOTE:</b> The distance shall b hat begins at the principal doorw blication is being made and ends	diction e ay of the at the	○ Yes	O No
	5/KL3	:	O 100	<u> </u>
For additional Retail Liquor Store only.				
<ul> <li>a. Was your Retail Liquor Store Licer</li> </ul>	nse issued on or before January <i>'</i>	I, 2016?. <u> </u>	O Yes	O No
b. Are you a Colorado resident?		N/A	O Yes	O No
<ol> <li>Has a liquor or beer license ever been of the partners, if a partnership; member Company; or officers, stockholders or of the name of the business and list any of including any loans to or from a license</li> <li>Does the applicant, as listed on line 2 of</li> </ol>	ers or manager if a Limited Liabi directors if a corporation)? If yes, current financial interest in said	lity , identify business	<ul><li>Yes</li><li>Yes</li></ul>	○ No ched
possession of the premises by owne			_	O No
Ownership	plain in detail)			
a. If leased, list name of landlord and the lease:	d tenant, and date of expiration,	<b>exactly</b> as	they app	ear on
Landlord	Tenant		Expires	
Lago Capital Investments LLC	Bamboo Sushi Congres	s, LLC	11/30/2	2039
<ul><li>b. Is a percentage of alcohol sales in</li><li>If yes, complete question on page</li></ul>			O Yes	<ul><li>No</li></ul>

**c.** Attach a diagram that designates the area to be licensed in black bold outline (including dimensions) which shows the bars, brewery, walls, partitions, entrances, exits and what each room shall be utilized for in this business. This diagram should be no larger than 8½" X 11".

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#### **ATTACHMENT TO DR 8404**

**7.** Has a liquor or beer license ever been issued to the applicant (including any of the partners, if a partnership; members or manager if a Limited Liability Company; or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any **current** financial interest in said business including any loans to or from a licensee.

#### Answer:

Bamboo Sushi Holdings, LLC (parent company of applicant) owns numerous entities that hold liquor licenses in Oregon, Washington, California, and Colorado.

business; or who will receive money from this business? Attach a separate sheet if necessary. Last Name First Name N/A Date of Birth (MM/DD/YY) FEIN or SSN Number Interest/Percentage Last Name First Name Date of Birth (MM/DD/YY) FEIN or SSN Number Interest/Percentage First Name Last Name Date of Birth (MM/DD/YY) FEIN or SSN Number Interest/Percentage Attach copies of all notes and security instruments and any written agreement or details of any oral agreement, by which any person (including partnerships, corporations, limited liability companies, etc.) will share in the profit or gross proceeds of this establishment, and any agreement relating to the business which is contingent or conditional in any way by volume, profit, sales, giving of advice or consultation. 10. Optional Premises or Hotel and Restaurant Licenses with Optional Premises: Has a local ordinance or resolution authorizing optional premises been adopted? N/A O Yes O No Number of additional Optional Premise areas requested. (See license fee chart) For the addition of a Sidewalk Service Area per Regulation 47-302(A)(4), include a diagram of the service area and documentation received from the local governing body authorizing use of the sidewalk. Documentation may include but is not limited to a statement of use, permit, easement, or other legal permissions. 11. Liquor Licensed Drugstore (LLDS) applicants, answer the following: a. Is there a pharmacy, licensed by the Colorado Board of Pharmacy, located within the applicant's LLDS premise? ( ) No If "yes" a copy of license must be attached.

**9.** Who, besides the owners listed in this application (including persons, firms, partnerships, corporations, limited liability companies) will loan or give money, inventory, furniture or equipment to or for use in this

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12. Club Liquor License applicants answer the following	ing: <b>Attach a copy of applicable d</b>	ocumen	tation
<ul> <li>a. Is the applicant organization operated solely patriotic, political or athletic purpose and not</li> </ul>	, , , , , , , , , , , , , , , , , , , ,	N/A O Yes	O No
b. Is the applicant organization a regularly change of a national organization which is operated or fraternal organization or society, but not a society.	I solely for the object of a patriotic	O Yes	O No
c. How long has the club been incorporated?			
d. Has applicant occupied an establishment for the that was operated solely for the reasons sta	. ,	O Yes	O No
13. Brew-Pub, Distillery Pub or Vintner's Restaurant	applicants answer the following:		
A. Has the applicant received or applied for a or application must be attached).		N/A O Yes	O No
14. Campus Liquor Complex applicants answer the	e following:	NI/A	
a. Is the applicant an institution of higher education?		N/A O Yes	O No
<b>b.</b> Is the applicant a person who contracts with education to provide food services?	•	O Yes	O No
If "yes" please provide a copy of the couto	ntract with the institution of hig	her edu	cation
15. For all on-premises applicants.			
<ul> <li>a. For all Liquor Licensed Drugstores (LLDS)</li> <li>Manager Permit Application - DR 8000 and</li> </ul>	•	submit a	an
Last Name of Manager	First Name of Manager		
Carrillo	Kimberly		
16. Does this manager act as the manager of, or he other liquor licensed establishment in the State name, type of license and account number	of Colorado? If yes, provide	Yes	O No
Bamboo Sushi			
Type of License	Account Number		
H&R	03-07628		

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17. Related Facility - Campus Liquor Complex app	olicants answer the following:			
a. Is the related facility located within the background Liquor Complex?				
If yes, please provide a map of the geograp	phical location within the Campus Liquor Complex.			
If no, this license type is not available for iss Campus Liquor Complex.	sues outside the geographical location of the			
b. Designated Manager for Related Facility -	Campus Liquor Complex			
Last Name of Manager	First Name of Manager			
18. Entertainment Facility License	N/A			
If Applicant is applying for an Entertainment Faci business model and aligns with the statutory priv				
Pursuant to 44-3-103(15.5) C.R.S., an Entertainment Facility means an establishment in which the primary business is to provide the public with sports or entertainment activities within its licensed premises; and that, incidental to its primary business, sells and serves alcohol beverages at retail for consumption on the licensed premises; and has sandwiches and light snacks available for consumption on the licensed premises.				
If Applicant is applying for a Lodging Facility Libusiness model and aligns with the statutory p				
Pursuant to 44-3-103(29) C.R.S., a Lodging Facility me primary business is to provide the public with sleeping r sells and serves alcohol beverages at retail for consum sandwiches and light snacks available for consumption	ooms and meeting facilities; and that ption on the licensed premises; and has			
19. Tax Information.				
a. Has the applicant, including its manager, p stockholders, members (LLC), managing r person with a 10% or greater financial inte in final order of a tax agency to be delinque local taxes, penalties, or interest related to	nembers (LLC), or any other rest in the applicant, been found ent in the payment of any state or			
b. Has the applicant, including its manager, p stockholders, members (LLC), managing r person with a 10% or greater financial inter any fees or surcharges imposed pursuant	nembers (LLC), or any other rest in the applicant failed to pay			

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If applicant is a corporation, partnership, association or limited liability company, applicant must list all Officers, Directors, General Partners, and Managing Members. In addition, applicant must list any stockholders, partners, or members with ownership of 10% or more in the applicant. All persons listed below must also attach form DR 8404-I (Individual History Record), and make an appointment with an approved State Vendor through their website. See application checklist, Section IV, for details.

Name				Date of Birth (MM/DD/	YY)
Bamboo Sushi Holdings, LLC				N/A	
Street Address					
City	State	ZIP Code	Position	n	% Owned
Portland	OR	97214	Membe	er	100%
Name				Date of Birth (MM/DD/	YY)
Jacob Frey					
Street Address					
City	State	ZIP Code	Position	n	% Owned
Portland	OR	97214	CFO		0%
Name			· —	Date of Birth (MM/DD/	YY)
Street Address					
City	State	ZIP Code	Position	า	% Owned
	$\neg \sqcap$				
Name			J L		YY)
				(	,
Street Address					
City	State	ZIP Code	Position	n	% Owned
	$\neg \vdash $				
Name					YY)
				,	,
Street Address					
L City	State	ZIP Code	Position	<u> </u>	% Owned
			]		
	11 1		11		1

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\*\* If applicant is owned 100% by a parent company, please list the designated principal officer on above. \*\* Corporations - the President, Vice-President, Secretary and Treasurer must be accounted for above (Include ownership percentage if applicable) \*\* If total ownership percentage disclosed here does not total 100%, applicant must check this box: Applicant affirms that no individual other than these disclosed herein owns 10% or more of the applicant and does not have financial interest in a prohibited liquor license pursuant to Article 3 or 5, C.R.S. I would like to apply for a Two-Year Renewal. No Oath Of Applicant I declare under penalty of perjury in the second degree that this application and all attachments are true. correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor or Beer and Wine Code which affect my license. Printed Name Title Jacob Frey CFO Authorized Signature Date (MM/DD/YY) Jake Frey Jan 7, 2025 Report and Approval of Local Licensing Authority (City/County) Date application filed with local authority Date of local authority hearing (for new license applicants; cannot be less than 30 days from date of application) For Transfer Applications Only - Is the license being transferred valid?..... O No The Local Licensing Authority Hereby Affirms that each person required to file DR 8404-I (Individual History Record) or a DR 8000 (Manager Permit) has been: Fingerprinted Subject to background investigation, including NCIC/CCIC check for outstanding warrants That the local authority has conducted, or intends to conduct, an inspection of the proposed premises to ensure that the applicant is in compliance with and aware of, liquor code provisions affecting their class of license (Check One) O Date of inspection or anticipated date Will conduct inspection upon approval of state licensing authority Is the Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 1,500 feet of another retail liquor license for off-premises sales

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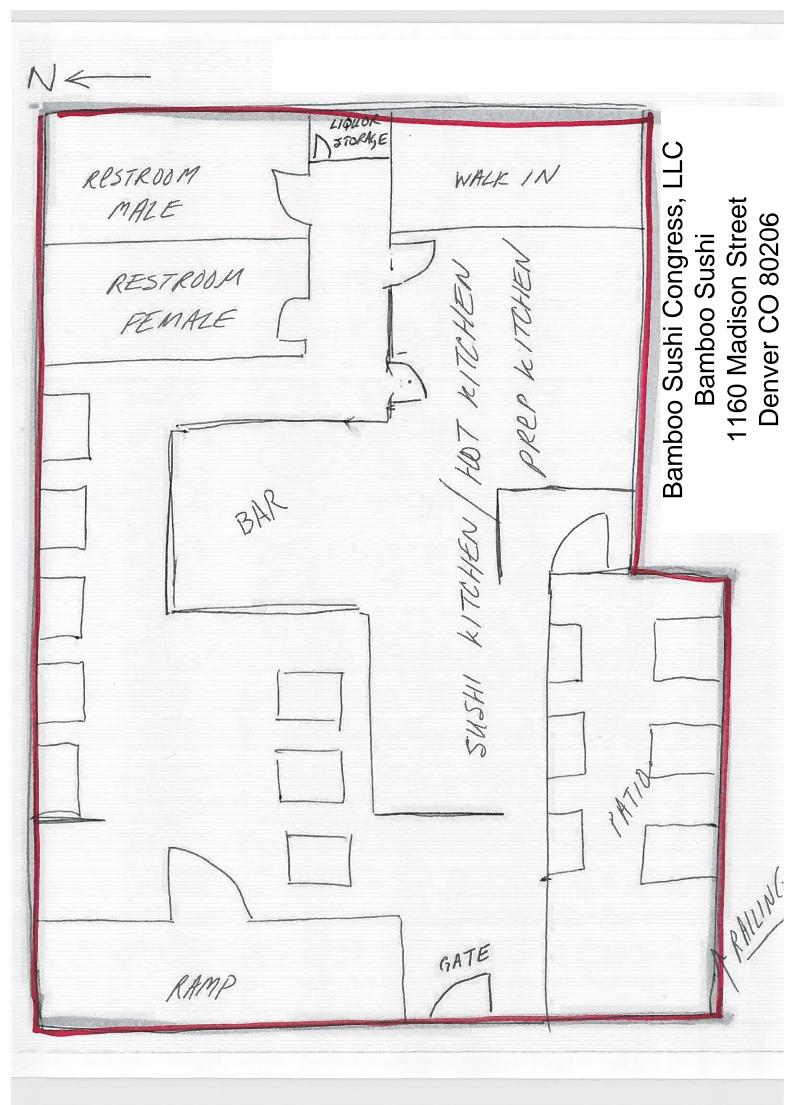
in a jurisdiction with a population of > 10,0000? .....

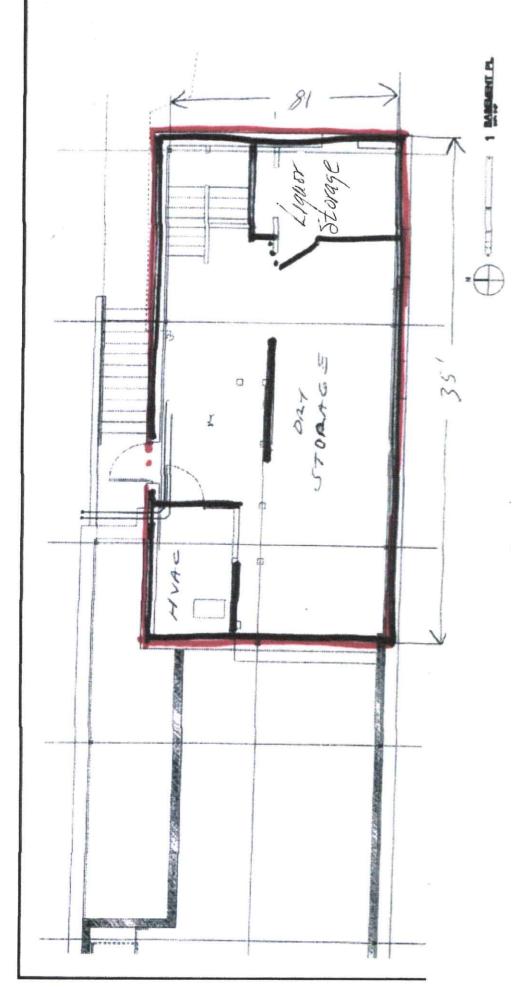
( ) Yes

( ) No

within 3,000 feet of another retail liquor li in a jurisdiction with a population of < 10,	cense for off-premises sales	) Yes	O No
<b>NOTE:</b> The distance shall be determined by a radius doorway of the LLDS/RLS premises for which the a principal doorway of the Licensed LLDS/RLS.			
Does the Liquor-Licensed Drugstore (LLD) percent (20%) of the applicant's gross annusale of food, during the prior twelve (12) more	ual income derived from the	) Yes	O No
The foregoing application has been examined; and character of the applicant are satisfactory. We do re reasonable requirements of the neighborhood and comply with the provisions of Title 44, Article 4 or 3 application is approved.	eport that such license, if grante the desires of the adult inhabita	d, will m nts, and	neet the I will
Local Licensing Authority Approves this license for a t	wo-year renewal (	Yes	O No
If "No", please cite the law, regulation, local ordinance authority the ability to deny the applicant and grounds investigative reports, and administrative or criminal a	s for denial. Also, p <b>l</b> ease provide a	any and	
Proof of Violation			
Local Licensing Authority for	Telephone Number	_ 0 ·	Town, City
			County
Printed Name	Title		
Signature	Date (MM/DD/YY)		
Printed Name	Title		
Signature	Date (MM/DD/YY)		

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Bamboo Sushi
Bamboo Sushi
1160 Madison Street,
Denver CO 80206
Basement Level
Storage Only